



RESORT ACCOUNT OPENING FORM

CUSTOMER ACCOUNT DETAILS

FILL THE FORM IN UPPERCASE

| | | | | | | |
|---|------------|------|-------------|---|--|----------|
| INDIVIDUAL <input type="checkbox"/> CORPORATE <input type="checkbox"/> ESTATE <input type="checkbox"/> OTHERS <input type="checkbox"/> | SURNAME | | | GENDER: MALE <input type="checkbox"/> FEMALE <input type="checkbox"/> | SINGLE <input type="checkbox"/> MARRIED <input type="checkbox"/> DIVORCED <input type="checkbox"/> WIDOW <input type="checkbox"/> | PASSPORT |
| | FIRST NAME | | | | | |
| | OTHER NAME | | | NATIONALITY: | MARITAL STATUS: | |
| ACCOUNT TYPE: | TITLE: | TEL: | OCCUPATION: | PLACE OF BIRTH: | DATE OF BIRTH: DD / MM / YEAR | |

CORPORATE / ESTATE

MOTHER'S MAIDEN NAME:

CONTACT INFORMATION

| | | | | | | | | | | | | | | | | | | | |
|------------------|--|--|--|--|--|-----|--|--|--|--|-------|----------|--|--|--|--|--------|--|--|
| E-MAIL: | | | | | | | | | | | | | | | | | | | |
| ADDRESS: | | | | | | | | | | | CITY: | | | | | | STATE: | | |
| MAILING ADDRESS: | | | | | | | | | | | | | | | | | | | |
| STATE OF ORIGIN | | | | | | LGA | | | | | | COUNTRY: | | | | | | | |

BANK DETAILS

| | | | | | | | | | |
|-----------------|--|--|--|--|--|----------------------|----------------------|--|--|
| BANK NAME: | | | | | SAVINGS <input type="checkbox"/> CURRENT <input type="checkbox"/> | AUTHORISED SIGNATURE | DATE: DD / MM / YEAR | AUTHORIZED SIGNATURE DATE: DD / MM / YEAR | BANK ACCOUNT CREATION DATE: DD / MM / YEAR |
| ACCOUNT NUMBER: | | | | | | AUTHORISED SIGNATURE | DATE: DD / MM / YEAR | | |
| BVN: | | | | | ACCOUNT TYPE: | | | | |

NEXT OF KIN

| | | |
|----------|--|--|
| NAMES | | |
| TEL: | | |
| ADDRESS: | | |

REFEREES

| | | |
|----------|--|--|
| NAMES | | |
| TEL: | | |
| ADDRESS: | | |

DECLARATION

I / We

hereby make application to open a stockbroking account with Resort Securities & Trust Limited. In consideration for your agreement to establish the said account, I/We hereby appoint Resort Securities & Trust Limited as my/our Stockbroker and:

- Undertake at all times to maintain a credit account balance in my/our account with you.
- Authorize you to purchase and sell securities on my/our behalf and to charge all commissions, fees, duties, interests and taxes, etc. in connection with such purchase or sales.
- Authorize you to send all communication to me/us using the information given above, provided there is an evidence of its being sent to or received at the point of delivery.
- Undertake not to hold you responsible for delays in the execution of orders due to breakdown or failure in transmission or communication facilities or for any other causes beyond your reasonable control or anticipation.
- Undertake to effect payment/deposits for share purchases either in cheques/drafts or cash directly into any of Resort Securities & Trust Limited Bank account as specified above and therefore forward lodgement tellers/slips to Resort Securities and Trust Limited office or accepted representatives and obtain receipt.
- Authorize you to charge interest at the prevailing market rate per month of 30 days counted from the appropriate settlement date on any debit balance on my/our account in your books.
- Authorize you to sell any securities held by you on my/our behalf if the debit balance on my/our account at any time is not paid within one month and I/we also authorize you to apply such proceed to offset the debit Balance on my account.

REQUIREMENT FOR CORPORATE/INDIVIDUAL CLIENT'S OPERATING/MANAGING ESTATE ACCOUNTS.

- Death Certificate of deceased
- Letter of Administration (reflecting all deceased's holdings, relationship to the deceased, stocks, bank accounts, property, a list of all the admors, etc.
- Power attorney i.e authorised rep(s) to the estate account - who signs what or is empowered to take/make decisions as regards the Estate account.
- Newspaper publication or gasset.
- Banker's confirmation of signature tied to the Bank's estate account details (deceased's current/savings account can be converted to one). This document is very important as it is very crucial to the account opening process (Registrars & Stockbroking firms)
- Letter of consent from admors/family instructing a proxy to act on their behalf (e.g corporate reps/agents)
- Duly filled account opening form.

| | | | |
|----------------------|----------------------|----------------------|----------------------|
| AUTHORISED SIGNATURE | DATE: DD / MM / YEAR | AUTHORISED SIGNATURE | DATE: DD / MM / YEAR |
|----------------------|----------------------|----------------------|----------------------|

FOR OFFICIAL USE

| | | | | |
|---|---|--|---|---|
| VERIFIED BY: <input type="text"/> ACCOUNT OFFICER: <input type="text"/> VERIFICATION DATE: DD / MM / YEAR | SIGNATURE: <input type="text"/> | <table border="0"> <tr> <td style="vertical-align: top;"> INDIVIDUAL A/C PASSPORT PHOTOGRAPH <input type="checkbox"/> UTILITY BILL <input type="checkbox"/> COPY OF VALID MEANS OF ID <input type="checkbox"/> BANKER'S CONFIRMATION OF SIGNATURE <input type="checkbox"/> HAND WRITTEN LETTER OF APPLICATION TO CSCS REQUESTING FOR A/C TO BE OPENED ON CLIENT'S BEHALF <input type="checkbox"/> </td> <td style="vertical-align: top;"> CHECK LIST: CORPORATE A/C MEMORANDUM & ARTICLE OF ASSOCIATION <input type="checkbox"/> Certificate of Incorporation <input type="checkbox"/> BOARD RESOLUTION WITH COMPANY SEAL (Addressed to Resort requesting us to open a CSCS /Stockbroking account) <input type="checkbox"/> LIST OF AUTHORISED SIGNATORIES WITH COMPANY SEAL <input type="checkbox"/> PASSPORT PHOTOGRAPHS OF ACCOUNT SIGNATORIES <input type="checkbox"/> COPIES OF VALID MEANS OF ID OF SIGNATORIES & UTILITY BILLS <input type="checkbox"/> BANKER'S CONFIRMATION OF SIGNATORIES OF COMPANY A/C <input type="checkbox"/> </td> </tr> </table> | INDIVIDUAL A/C PASSPORT PHOTOGRAPH <input type="checkbox"/> UTILITY BILL <input type="checkbox"/> COPY OF VALID MEANS OF ID <input type="checkbox"/> BANKER'S CONFIRMATION OF SIGNATURE <input type="checkbox"/> HAND WRITTEN LETTER OF APPLICATION TO CSCS REQUESTING FOR A/C TO BE OPENED ON CLIENT'S BEHALF <input type="checkbox"/> | CHECK LIST: CORPORATE A/C MEMORANDUM & ARTICLE OF ASSOCIATION <input type="checkbox"/> Certificate of Incorporation <input type="checkbox"/> BOARD RESOLUTION WITH COMPANY SEAL (Addressed to Resort requesting us to open a CSCS /Stockbroking account) <input type="checkbox"/> LIST OF AUTHORISED SIGNATORIES WITH COMPANY SEAL <input type="checkbox"/> PASSPORT PHOTOGRAPHS OF ACCOUNT SIGNATORIES <input type="checkbox"/> COPIES OF VALID MEANS OF ID OF SIGNATORIES & UTILITY BILLS <input type="checkbox"/> BANKER'S CONFIRMATION OF SIGNATORIES OF COMPANY A/C <input type="checkbox"/> |
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